

BROWDY AND NEIMARK, P.L.L.C.

ATTORNEYS AT LAW

PATENT AND TRADEMARK CAUSES

SUITE 300

ALVIN BROWDY (1917-1998) 624 NINTH STREET, N.W. SHERIDAN NEIMARK ROGER L. BROWDY

ANNE M. KORNBAU NORMAN J. LATKER NICK BROMER* (*PA BAR ONLY)

WASHINGTON, D.C. 20001-5303

TELEPHONE (202)-628-5197 February 10, 2000 TELECOPIER FACSIMILE (202) 737-3528 (202) 393-1012

mail@browdyneimark.com

PATENT AGENT ALLEN C. YUN, PH.DO



OF COUNSEL IVER P. COOPER Hon. Assistant Commissioner for Patents Box Patent Appln Washington, D.C. 20231

New Patent Application in U.S.

Applicant: Oren MARMUR

Title: METHOD AND SYSTEM FOR COMMUNICATION PROTECTION

Atty's Docket: MARMUR=2

Sir:

Attached herewith is the above-identified application for Letters Patent including:

- Specification (13 pages), claims (4 pages) and abstract (1 page)
- 6 Sheets Drawings (Figures 1-6) [] Informal
- The inventors of this application are:

Oren MARMUR 5/9 Dizengof Street, Yel-Aviv 64281; Israel

- [X]Information Disclosure Statement with (2) references
- Return Receipt Postcard (in duplicate) [X]

The following statements are applicable:

- The benefit under 35 USC \$119 is claimed of the filing date of: Application No. <u>132727</u> in <u>Israel</u> on <u>November 3, 1999</u>. A certified copy of said priority document [X] is attached [] was filed in progenitor case _____ on ____
- The present application is a [] Continuation [] Divisional [] [] Continuation-in-part of prior application No. _ Although this application is stated to be a CIP, applicant does not concede that any matter is presented in this application which is not present in the parent.
- Incorporation By Reference. The entire disclosure of the prior [] application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- The undersigned attorney of record hereby appoints associate power of attorney, to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith to:

- [X] In accordance with 37 CFR 1.53(a) and (b), it is respectfully requested that a serial number and filing date be assigned to this application as of the date of receipt of the present papers. In accordance with the present procedures of the U.S. Patent and Trademark Office, an executed Declaration and the filing fee for the present application will be filed in due course.
- [X] No authorization is given for charging the filing fee at the present time. However, at such time that the declaration is filed, but not before, you are authorized to charge whatever excess fees are necessary (including the filing fee and any extension of time fees then due) to <u>Deposit Account 02-4035</u>, if any such fees due are not fully covered by check filed at that time.
- [X] The attorneys of record for this application and the address will be those of <u>Customer No. 001444</u>; i.e., Sheridan Neimark, Reg. No. 20,520; Roger L. Browdy, Reg. No. 25,618; Anne M. Kornbau, Reg. No. 25,884; Norman J. Latker, Reg. 19,963; Iver P. Cooper, Reg. No. 28,005; *Allen C. Yun, Reg. No. 37,971 and Nick Bromer, Reg. No. 33,478 (*Patent Agent). Please send all correspondence with respect to this case to:

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, D.C. 20001

Please direct all telephone calls to Browdy and Neimark at (202) 628-5197.

[X] The Commissioner is hereby authorized to credit any overpayment of fees accompanying this paper to Deposit Account No. 02-4035.

Respectfully submitted, BROWDY AND NEIMARK, P.L.L.C.

Sheridan Neimark

Registration No. 20,520

SN:wrd f\filing\rule53apps*.wpd

30

METHOD AND SYSTEM FOR COMMUNICATION PROTECTION

Field of the Invention

The present invention relates in general to optical networks, and in particularly to optical telecommunication networks and to methods of using them.

10 Background of the Invention

Telecommunication systems comprising a number of optical transmission channels are known in the art. Unfortunately, these systems suffer occasionally from a fault occurring in one of these channels, e.g. due to failing components. Therefore, a protection channel is usually incorporated in such systems, allowing diversion of transmitted communication to a non-failing channel. Traditionally, channel, the protection monitoring the performance in these telecommunication systems was done while incorporating various alarm Such alarm conditions alerted a conditions. operator when certain events e.g. a loss of signal or error rates that had exceeded pre-defined thresholds were detected. In response to such an alarm, the operator would manually switch to a redundant path in the network, allowing the communication to continue.

At a later stage, conventional fiber optic fibers have implemented 1:1 redundancy for the optical channels in a network, with a certain amount of automatic switching. In these systems, when a loss of signal (to be referred to hereinafter as "LOS") or alarm indication signal ("AIS") conditions were noted in a channel connecting a first location to a second location, a diversion of the transmission to the available redundant path was made. This diversion enables the transmission of

35

data between these first and second locations to continue.

US 4,646,286 discloses a system wherein a protection switch is effected by detecting a channel failure at receiving end. Thereafter, a protection request is transmitted on the return channel to the transmission end. This request is then used in a controller for the channel to activate a switch to the corresponding protection channel.

However, since this solution requires doubling both the cabling and the input/output ports as compared with those required to carry traffic, such a solution is quite expensive.

Another solution was described in US 5,479,608 that discloses a telecommunication system having 1:N group protection. By this type of solution one redundant channel is allocated to protect a number of operative channels. According to this solution, in response to the detection of an error condition, a request is transmitted to the other side of the system to activate the protection channel.

Summary of the Invention

It is an object of the present invention to provide an optical system that allows continued transmission of messages in case of a failure in a transmission path by using an alternative transmission path.

It is yet another object of the present invention to provide a system that comprises a combination of protection means and means for automatic system shut down.

It is still another object of the present invention to provide method for diverting transmission from a failing transmission path to an alternative protection path.

15

20

25

Other objects of the invention will become apparent as the description of the invention proceeds.

In accordance with the present invention, there is provided in an optical communication network comprising an optical transmission and reception links extending between first and second locations and carrying traffic in normal operation mode from the first location to the second location and protection transmission and reception links traffic for carrying the of the transmission and reception links in the event of a fault in at least one of the optical links, a method for managing routing of traffic to the protection links, which method comprises the steps of:

detecting a fault on an optical link at the second location;

determining whether the total energy received over the reception optical link at the second location exceeds a pre-defined threshold;

in the case that the total energy thus received does not exceed the pre-defined threshold, switching at the second location the traffic transmission and reception to the corresponding protection links;

detecting a fault on an optical link at the first location;

determining whether the total energy received at the first location over the receiving optical link exceeds the pre-defined threshold; and

in the case that the total energy thus received at the first location does not exceed the pre-defined threshold, switching at the first location the traffic transmission and reception to the corresponding protection links.

In accordance with the present invention there is provided in an optical communication network comprising a plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of channels for carrying traffic in normal

15

25

30

35

operation mode from the first location to the second location and at least one protection channel adapted for carrying traffic in the event of a fault in at least one of the channels carrying traffic in normal operation mode, a method for managing routing of traffic to the protection channel, comprising the steps of:

detecting a fault on at least one of the channels carrying traffic in normal operation mode, at the second location;

switching at the second location the transmission and reception paths associated with said at least one failing channel to the at least one protection channel;

detecting a fault on said at least one channel at the first location; and

switching at the first location the transmission and reception paths associated with said at least one failing channel to the at least one protection channel.

According to an embodiment of the present invention the at least one protection channel is used for protecting at least one pre-designated channel out of the plurality of telecommunication channels, (e.g. protecting a channel having a higher priority traffic than that carried via the other channels).

According to another embodiment of the invention the at least one protection channel is used for protecting a plurality of telecommunication channels.

In accordance with still another embodiment of the invention there is provided in an optical communication network comprising a plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of channels for carrying traffic from the first location to the second location, at least one protection channel for carrying the traffic of at least one forward channel in the event of fault in said at least one forward channel, a method for allowing continuous operation of non-failing channels

25

30

provided that the overall transmitted energy in the non-failing channels exceeds a pre-defined threshold.

According to another aspect of the invention, there is provided an optical communication network comprising a plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of forward channels for carrying traffic in normal operating mode from the first location to the second location, at least one protection channel for carrying the traffic of at least one forward channel in the event of fault in said at least one forward channel, wherein when a failure occurs in one or more of said telecommunication channels, a continued operation of the telecommunication channels is allowed, non-failing provided that the overall transmitted energy in said exceeds telecommunication channels non-failing pre-defined threshold.

Preferably, the optical communication network of the invention comprises:

a plurality of optical transmitters;

a wave division multiplexer receiving outputs from said plurality of optical transmitters over a plurality of optical channels;

at least one pair of optical links comprising a transmission link and a reception link;

at least one pair of protection links comprising a transmission link and a reception link;

at least one protection channel;

a wave division demultiplexer capable of receiving an input from said division multiplexer over one of said transmission links;

a plurality of optical receivers, each receiving an input from said wave division demultiplexer;

a detection means for detecting a loss of signal in 35 at least one failing channel out of the plurality of optical channels;

10

15

20

30

35

a determination means for determining whether the energy of the input received from said division multiplexer exceeds a pre-defined threshold;

a blocking means operative to block all laser beams leaving said wave division multiplexer responsive to a determination of said determination means that the energy thus received does not exceed the pre-defined threshold; and

a protecting means operative to divert traffic from said at least one failing channel to said least one protection channel in the event of fault in said at least one forward channel, provided that the energy of the input received from said division multiplexer exceeds the pre-defined threshold.

According to still another embodiment of the invention, the system further comprises at least other transmitter and at least one other Operating these other transmitter and detector allows to continuously monitoring the operability of the optical fiber which is not currently being used for transmission of telecommunication traffic. Ву embodiment, the optical fiber that is not used transmitting traffic, e.g. the fiber assigned protection purposes, is being monitored either on a continuous basis or according to a pre-determined schedule. Such monitoring enables to detect problems that may prevent the non-used fiber (e.g. the fiber assigned for protection) from functioning properly when required (e.g. when the main optic fiber is damaged and traffic is to be switched to the protection fiber). This way, if that fiber is found to be mal-functioning, it can be timely repaired. According to a further preferred embodiment, once a switch in the traffic transmission takes place to the protection fiber, the mal-functioning main optical fiber, will now be monitored by the at least one other transmitter and at least one other detector, which may be different transmitter and/or detector, or

the same ones used when thew protection fiber was monitored. Once this main fiber is repaired, the system can immediately be notified and the traffic may resume its original transmission path (the main optical fiber), switching from the protection fiber (revertive protection).

Brief Description of the Drawings

The present invention will be understood and appreciated more fully from the following detailed description, taken in conjunction with the drawings in which:

Fig. 1A illustrates a typical configuration of an optical protection module, where Figs. 1B and 1C illustrate a configuration of an input optical switch and an output optical switch, respectively;

Fig. 2 is a flow chart describing a sequence of events occurring when a protection action is required;

Figs. 3A to 3D demonstrate operation of a system according to an embodiment of the present invention while switching from normal operating mode to a protection mode;

Fig. 4 presents a functional block diagram of an optical protection module according to an embodiment of the invention;

Figs. 5A and 5B illustrate a configuration of an input optical switch and an output optical switch, respectively; and

Fig. 6 presents a flow chart describing a sequence of events occurring when a protection action is required according to the embodiment illustrated in Figs. 5.

Detailed Description of the Invention

Reference is now made to Fig. 1, which illustrates schematically a preferred embodiment of a wave division multiplexing communications system constructed and

15

20

35

operative in accordance with a preferred embodiment of the present invention.

The following example describes an Optical Protection Module (to be referred to hereinafter as "OPM") in accordance with the present invention. One of the main purposes of having such an OPM is to perform line protection and IO protection at the optical level, similarly to the SDH MSP 1+1 and IOP protections.

The OPM unit can be separated or an add-on unit to the LOS Detection unit. In the latter case, the need for additional LOS detection mechanisms and electrical control components is eliminated.

Typically, the OPM occupies a single CCP slot, forming together with the LOS Detection unit a double slot unit. The OPM unit includes two sets of fiber connectors, each containing 3 fibers. The first set includes 2 fiber inputs and 1 output, while the second set includes 1 fiber input and 2 outputs. Over all, the OPM unit receives 4 fiber from the line direction and 2 fibers from the LOS detection unit direction. Fig. 1A presents OPM card configuration.

The first set of optical fiber interfaces contains two fiber connectors for two fibers coming from the line direction (one operative and the other for protection), and one output fiber towards the LOS Detection unit card. The two input fibers are connected to a 2x1 optical switch, which alternately can connect each one of the input fibers to the output fibers.

Fig. 1B depicts the connection between the 2 input 30 and one output fibers.

Similarly, the two output fibers of the second set of fiber interfaces are connected to another 2x1 optical switch, which can alternately connect each one of them to the single output fiber. Fig. 1C depicts the connection between the two output and one input fibers.

LOS detection is not required within the OPM unit itself, since the LOS Detection unit can perform the LOS

20

25

30

35

detection operation. This way, the OPM card includes only the two optical switches, and no additional hardware is required.

The use of two optical switches, instead of an optical switch and an optical splitter is intended to avoid the power loss of 3-4 dB, in case the optical signal is splitted between the operative and protective fibers. As will be further shown, although switches are used at both ends, the protection mechanism can operate without exchanging information between the two ends of the network (such as an APS algorithm).

Fig. 2 demonstrates a flow chart of a sequence of events taking place when a link failure occurs and a protective action is required.

Since the required LOS detection time is less than 1 msec, and the optical switch switching time should be under 4 msec, the whole protection procedure could be completed under 10 msec.

As previously explained, one type of optical protection is OMS/OTS layer protection. According to such type of protection, a set of multiplexed signals is protected between two adjacent optical network elements ("NE"). The protection procedure is somewhat similar so other ring protections known in the art, e.g. in SDH networks, but is carried out at the optical layer.

Another type of protection is the Och layer protection. The Och protection is provided for individual channels (wavelengths). In this case, separate channels are transmitted either on the operative or the protection fibers. Consequently, two different transponders may be required per channel. Contrary to the previous type of protection discussed, this type of protection is preferably carried out at the add and drop direction and not in the optical ring direction.

The following figures 3A to 3D present the system operation scheme in normal operating mode as well as in the protection mode.

15

Fig. 3A presents the system in normal operation mode. The four fibers, two operative and two protection fibers are connected at both ends to OPM units 400 and 410, respectively, which in turn are connected to LOS Detection units 420 and 430, respectively.

Fig. 3B illustrates the stage that a fiber break occurs in the point designated as 440. The fiber LOS is detected by the LOS Detection unit 420, which performs automatic shut down procedure. Once the shut down procedure is initiated, OPM 400 switches to protection mode as illustrated in Fig. 3C. Such a switch triggers a LOS in LOS Detection unit 430, and once this LOS is detected, OPM 410 will switch too to a protection mode (Fig. 3D), completing the system's required switch to the protection mode.

Following the second protection switching, all traffic is transmitted via the protection fibers. The entire operation is typically completed in less than 10 msec, and thus the LOS detection mechanism will not be triggered (an operation that typically requires about 500 msec). The protection fibers are now connected to LOS Detection unit and therefore if a fiber break occurs on one of the protection fibers, LOS detection will be triggered and will cause a shutdown of all traffic.

25 The OPM unit according to the present Example comprises of the following main components:

 \square 6 SC fiber connectors, 3 inputs and 3 outputs.

 \square 2 2x1 optical switches.

30 ☐DC Power supply.

No additional components are required in this Example since all the control circuitry is included in the LOS Detection unit.

Fig. 4 presents a functional block diagram of the OPM unit. The figure presents the main functional blocks

10

and their inter-relations, and should not be interpreted as a detailed and exact hardware layout.

As mentioned previously, LOS detection will be provided by the LOS Detection unit, through the control FPGA, which will be used for controlling the optical switched onboard the OPM card.

In order to control the OPM unit, additional outputs are required from FPGA onboard the LOS detection card. Therefore the FPGA is incorporated once again, including the additional signal.

Two main additional outputs are required - OPM_Switch_En and OPM switch position. The OPM_Switch_En signal controls the two optical switches and should change its value from 0 to 1 or from 1 to 0 upon LOS detection. As mentioned previously, the signal should be kept fixed for 10 msec, although LOS is still being detected, in order to enable completion of the protection action.

The following table 2 lists some relevant technical specification of the OPM unit.

15

20

Table 2 - technical Specifications of the OPM unit

Component	Parameter	Value	Units	Remarks	
Optical	Insertion	<0.5	dB		
Switch	Loss				
Unit		!			
	Switching	<4	msec		
	Time				
	Switch_Pos	0 - Switch			
		in Normal			
		Position			
		1 - Switch			
		in Protect			
		Position			
FPGA	OPM_Switch_En	10	msec	Ignore	LOS
	Hold off			detection	until
	time.			completion	of
				protection	action.

Another embodiment of the present invention illustrated in Figs. 5A and 5B. By this embodiment an input 2X2 optical switch 50 allows the connectivity of two incoming optical fibers 52 and 54 (one of which being the operative fiber and the other the protection fiber), to an outgoing optical fiber 56 and a photo-diode 58. The input fiber that is currently not connected to is connected to a further outgoing fiber, (photo-diode 58) for monitoring the fiber operability. Similarly, another 2X2 optical switch 60 is demonstrated in Fig. 5B, for use as an output switch. This switch allow the connectivity of one input optical fiber 62 to any one of the outgoing optical fibers 64 and 66. The outgoing fiber that is not currently connected to the incoming fiber, is connected to transmitter Preferably, low cost transmitter 68 and receiver 58 are used, and provide continuous monitoring of the fiber that is not used for traffic transmission. As was previously

20

25

30

35

be done monitoring can explained, such continuously, e.g. by transmitting low rate signals, or every pre-defined period of time. While operating, photo-diode 58 provides a monitor LOS signal, which is used to notify a detection of LOS of the optical fiber not currently used for transmission of traffic. According to still another preferred embodiment, no additional receiver is used, and the differentiation between real by their signals is done traffic and monitoring characteristics, e.g. change of power, rate, bandwidth and the like.

Fig. 6 which is an embodiment of the flow chart presented in Fig. 2, demonstrates a flow chart of a sequence of events taking place when a link failure occurs and a protective action is required, in the case where a monitoring of the non-used channel is carried out.

It will be appreciated that the above described methods may be varied in many ways, including but not limited to, changing the exact implementation used. It should also be appreciated that the above described description of methods and networks are to be interpreted as including network in which the methods are carried out and methods of using the network components.

The present invention has been described using preferred detailed descriptions of non-limiting embodiments thereof that are provided by way of example and are not intended to limit the scope of the invention. should be understood that features described with respect to one embodiment may be used with other embodiments and that not all embodiments of the invention have all the features shown in a particular figure. Variations of embodiments described will occur to persons of the art. Furthermore, the terms "comprise", "include", "have" and their conjugates, shall mean, when used in the "including but not necessarily limited to". claims

30

Claims:

In an optical communication network comprising an 5 optical transmission and reception links extending between first and second locations and carrying traffic in normal operation mode from the first location to the second location and protection transmission and reception links for carrying the traffic of the transmission and reception links in the event of a fault 10 in at least one of the optical links, a method for managing routing of traffic to the protection links, which method comprises the steps of:

detecting a fault on an optical link at the second location;

determining whether the total energy received over the reception optical link at the second location exceeds a pre-defined threshold;

in the case that the total energy thus received does not exceed the pre-defined threshold, switching at the second location the traffic transmission and reception to the corresponding protection links;

detecting a fault on an optical link at the first location;

determining whether the total energy received at the first location over the receiving optical link exceeds the pre-defined threshold; and

in the case that the total energy thus received at the first location does not exceed the pre-defined threshold, switching at the first location the traffic transmission and reception to the corresponding protection links.

2. In an optical communication network comprising 35 a plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of channels for carrying traffic

in normal operation mode from the first location to the second location and at least one protection channel for carrying traffic channel in the event of a fault in at least one of the channels carrying traffic in normal operation mode, a method for managing routing of traffic to the protection channel, comprising the steps of:

detecting a fault on at least one of the channels carrying traffic in normal operation mode, at the second location;

switching at the second location the transmission and reception paths associated with said at least one failing channel to the at least one protection channel;

detecting a fault on said at least one channel at the first location; and

switching at the first location the transmission and reception paths associated with said at least one failing channel to the at least one protection channel.

- 20 3. A method according to Claim 2, wherein said at least one protection channel is used for protecting at least one pre-designated channel out of the plurality of telecommunication channels.
- 25 4. A method according to Claim 2, wherein said at least one protection channel is used for protecting a plurality of telecommunication channels.
- 5. In an optical communication network comprising a plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of channels for carrying traffic from the first location to the second location, at least one protection channel for carrying the traffic of at least one forward channel in the event of fault in said at least one forward channel, a method for allowing continuous operation of non-failing channels provided that the

overall transmitted energy in the non-failing channels exceeds a pre-defined threshold.

- 6. An communication network optical comprising plurality of telecommunication channels extending between first and second locations, the channels comprising a plurality of forward channels for carrying traffic in normal operating mode from the first location to the second location, at least one protection link carrying the traffic of at least one forward channel in the event of fault in said at least one forward channel, wherein when a failure occurs in one or more of said telecommunication channels, a continued operation of the non-failing telecommunication channels allowed, is provided that the overall transmitted energy in said non-failing telecommunication channels exceeds pre-defined threshold.
 - 7. An optical communication network, comprising:
 - a wave division multiplexer receiving outputs from said plurality of optical transmitters over a plurality of optical channels;
 - at least one pair of optical links comprising a transmission link and a reception link;
- at least one pair of protection links comprising a transmission link and a reception link;
 - at least one protection link;
 - a wave division demultiplexer capable of receiving an input from said division multiplexer over one of said transmission links;
 - a plurality of optical receivers, each receiving an input from said wave division demultiplexer;
- a detection means for detecting a loss of signal in at least one failing channel out of the plurality of 35 optical channels;

a determination means for determining whether the energy of the input received from said division multiplexer exceeds a pre-defined threshold;

a blocking means operative to block all laser beams leaving said wave division multiplexer responsive to a determination of said determination means that the energy thus received does not exceed the pre-defined threshold; and

a protecting means operative to divert communication traffic from said at least one failing channel to said least one protection link in the event of fault in said at least one forward channel, provided that the energy of the input received from said division multiplexer exceeds the pre-defined threshold.

15

8. An optical communication network according to Claim 6, further comprising means adapted to monitor the operability of the protection link during operation mode of the network.

20

9. An optical communication network according to Claim 7, further comprising at least one transmitter and least one receiver, operative in monitoring operability of an optical link not being currently used for transmission of communication traffic.

25

10. Α method according to Claim 1. comprising monitoring the operability of the protection said protection link link when is not used transmission of traffic during normal operation mode. 30

15

25

30

ABSTRACT

In an optical communication network comprising an optical transmission and reception links extending between first and second locations and carrying traffic in normal operation mode from the first location to the second location and protection transmission and reception links for carrying the traffic of the optical transmission and reception links in the event of a fault in at least one of the optical links, a method for managing routing of traffic to the protection links, which method comprises the steps of:

detecting a fault on an optical link at the second location;

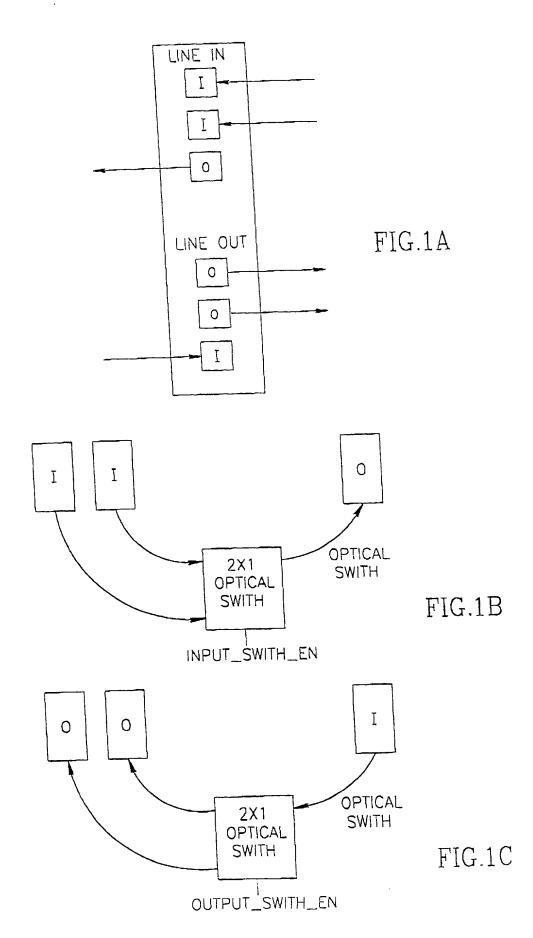
determining whether the total energy received over the reception optical link at the second location exceeds a pre-defined threshold;

in the case that the total energy thus received does not exceed the pre-defined threshold, switching at the second location the traffic transmission and reception to the corresponding protection links;

detecting a fault on an optical link at the first location;

determining whether the total energy received at the first location over the receiving optical link exceeds the pre-defined threshold; and

in the case that the total energy thus received at the first location does not exceed the pre-defined threshold, switching at the first location the traffic transmission and reception to the corresponding protection links.



THE R. LEWIS

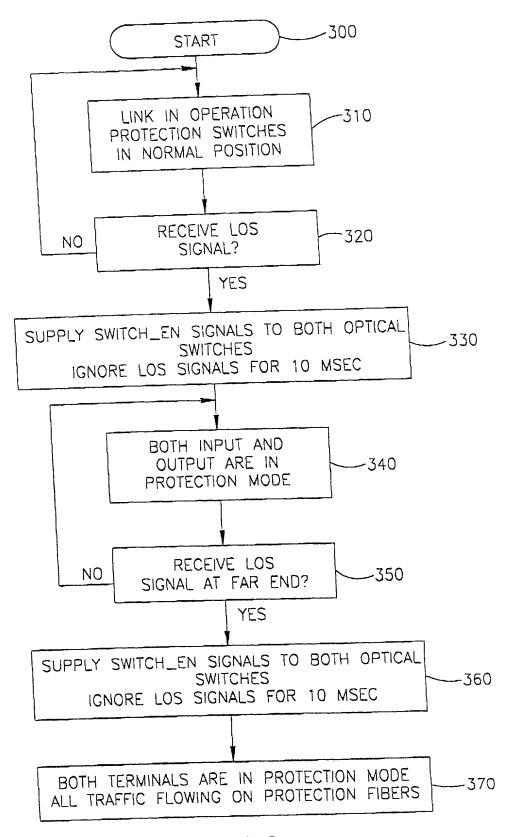
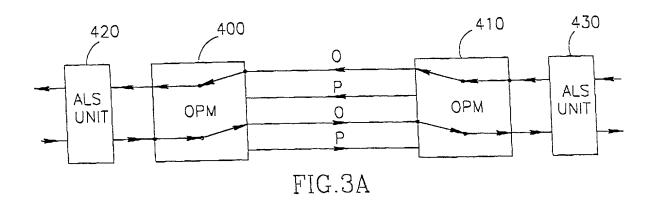
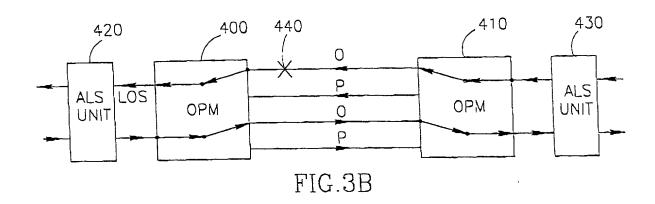
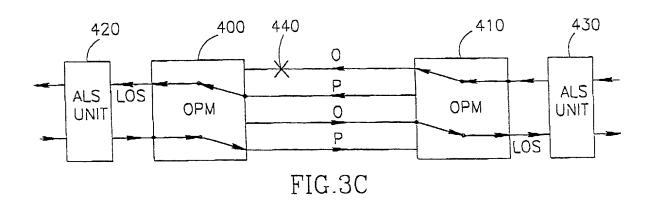
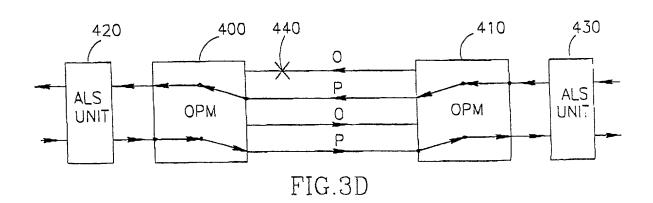


FIG.2









DC POWER SUPPLY

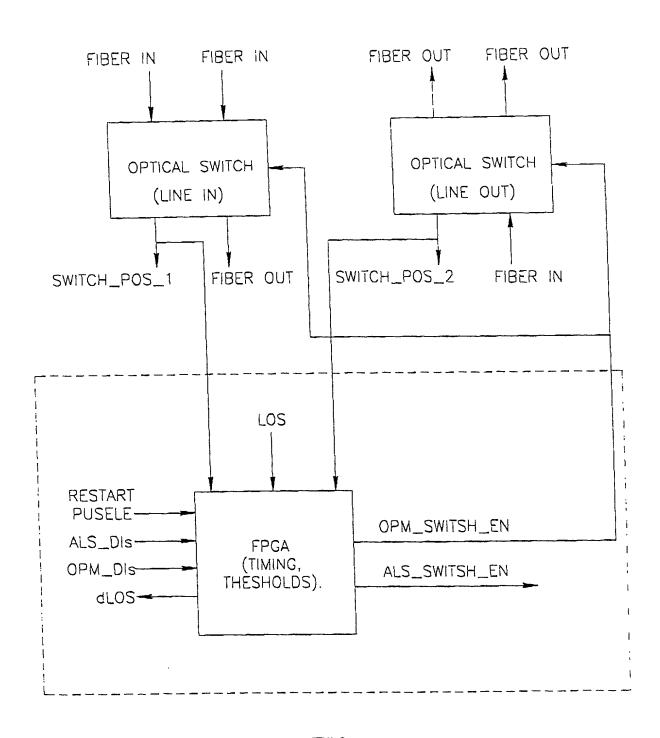


FIG.4

